

GRAY
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500 IDS CENTER
80 SOUTH EIGHTH STREET
MINNEAPOLIS, MN 55402-3796
612 632-3000
Fax: 612 632-4444

1010 WEST ST. GERMAIN STREET
SUITE 600
ST. CLOUD, MN 56301
320 252-4414
Fax: 320 252-4482

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JUL 21 2006

Reply to Minneapolis
Peter Forrest
612 632-3067
peter.forrest@gpmlaw.com

July 21, 2006

VIA FACSIMILE

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Inventor: Szentistvany, Andreas C. *et al.*
U.S. Application No: 10/566,309
US Filing Date: January 27, 2006
International Application no: PCT/GB04/03275
International filing date: July 27, 2004
Title: SWIVEL MECHANISM FOR A STAIRLIFT ASSEMBLY
Attorney Docket No.: 107727

TRANSMITTAL LETTER

Dear Sir:

Enclosed for filing with the United States Patent and Trademark Office, please find:

1. Transmittal Letter, including Certificate of Facsimile Transmission under 37 C.F.R. 1.8 (2 Sheets);
2. Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office (DO/EO/US - 2 Sheets);
3. Executed Combined Declaration and Power of Attorney by inventor (3 Sheets); and,
4. Fee Transmittal for FY 2006 (in duplicate).

Please charge Deposit Account 500937 \$130.00 for the Large Entity surcharge due, and for any other additional fees required at this time. If you have any questions, please contact me.

07/26/2006 MKAYPAGH 00000142 500937 10566309

01 FC:1617 130.00 DA

Very truly yours,



Peter Forrest (33,285)
Attorney for Applicant

JUL 21 2006

G R A Y
P L A N T
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500 IDS CENTER
80 SOUTH EIGHTH STREET
MINNEAPOLIS, MN 55402-3796

612 632-3000
FAX: 612 632-4444
www.gpmlaw.com

INCLUDING THE LAW FIRM HALL & BYERS, P.A.
1010 WEST ST. GERMAIN STREET, SUITE 600
ST. CLOUD, MN 56301

320 252-4414
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www.gpmlaw.com

Date: July 21, 2006

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From: Peter Forrest (33,235) Direct Dial: 612/632-3067

No. of pages including cover: 10 GPM Timekeeper No.: 1122 Matter: 107727

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Original Document will not be sent by regular mail.

COMMENTS:

Re: Response to Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office (DO/EO/US)

Inventor: Szentistvany, Andreas C.

Title: SWIVEL MECHANISM FOR A STAIRLIFT ASSEMBLY

US Application No: 10/566,309

US Date Filed: January 27, 2006

International Application no: PCT/GB04/03275

International filing date: July 27, 2004

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GP:1977342 v1

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Page 2
July 21, 2006

Certificate of Facsimile Transmission under 37 C.F.R. §1.8

I hereby certify that the following identified correspondence is being transmitted via facsimile to the United States Patent and Trademark Office on July 21, 2006: Transmittal Letter, including Certificate of Facsimile Transmission under 37 C.F.R. 1.8 (2 Sheets); Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office (DO/EO/US - 2 Sheets); Executed Combined Declaration and Power of Attorney by inventor (3 Sheets); and, Fee Transmittal for FY 2006 (in duplicate).



Tiffany K. Larson

GP:1977343 v1

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 Washington, DC 20591-1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/566,309	Andreas C. Szentivany	107727
INTERNATIONAL APPLICATION NO.		
PCT/GB04/03275		
I.A. FILING DATE	PRIORITY DATE	
07/27/2004	07/28/2003	
CONFIRMATION NO. 5037		
371 FORMALITIES LETTER		
 *OC000000019622345*		

Date Mailed: 07/17/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/27/2006
- Copy of the International Search Report filed on 01/27/2006
- Preliminary Amendments filed on 01/27/2006
- Information Disclosure Statements filed on 01/27/2006
- Request for Immediate Examination filed on 01/27/2006
- U.S. Basic National Fees filed on 01/27/2006
- Priority Documents filed on 01/27/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/566,309	PCT/GB04/03275	107727

FORM PCT/DO/EO/905 (371 Formalities Notice)

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JUL 21 2006

PTO/SB/17 (01-06)

Approved for use through 07/31/2008, OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2006

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	130.00
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Complete if Known

Application Number	10/566,309
Filing Date	01/27/2006
First Named Inventor	Szentivany, Andreas C.
Examiner Name	
Art Unit	
Attorney Docket No.	107727

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 50-0937 Deposit Account Name: Gray Plant Mooty

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments
 under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Small Entity

Fee (\$) Fee (\$)

50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

Total Claims

Extra Claims Fee (\$) Fee Paid (\$)

Multiple Dependent Claims

Fee (\$) Fee Paid (\$)

- 20 or HP = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
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- 100 = / 50 = (round up to a whole number) x =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

130.00

Other (e.g., late filing surcharge):

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 33,235	Telephone 612-632-3067
Name (Print/Type)	Peter Forrest		Date 07/21/2006

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.